

## REMARKS

Claims 1, 4, 11, 19, 25, 30, 36, 41, 42, 47, and 48 have been amended. Claims 2, 3, 27, 31, and 43 have been canceled. Claims 1, 4-26, 28-30, 32-42, and 44-48 are currently pending in the application. In view of the following remarks, Applicant respectfully requests withdrawal of the rejections and forwarding of the application onto issuance.

### The § 102 Rejections

Claims 1-10 and 25-48 stand rejected under 35 U.S.C. § 102(b) as being anticipated by U.S. Patent No. 5,757,919 to Herbert et al (hereinafter "Herbert").

### The § 103 Rejections

Claims 11-18 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Herbert in view of U.S. Patent No. 5,628,023 to Bryant et al. (hereinafter "Bryant").

Claims 19-24 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Herbert in view of U.S. Patent No. 6,003,117 to Buer et al. (hereinafter "Buer").

### Claims 1 and 4-10

As amended, **claim 1** recites, in a paging operating system having physical memory for holding information and secondary storage comprising a page file for receiving information that is paged out from the physical memory, a computer-implemented method of protecting information comprising [emphasis added]:

- creating a key and page locking the key in the physical memory, wherein creating the key comprises creating the key during *system*

1            *boot up*, wherein *different* keys can be created during *different*  
2            system boot ups;

- 3            • encrypting information using the key; and
- 4            • paging out, to the page file, the encrypted information.

5            In making out the rejection of former claim 3, which subject matter is now  
6            incorporated into claim 1, the Office argues that Herbert teaches a method for  
7            creating a key during system boot up and cites to column 2, lines 45-52,  
8            reproduced below, for support:

9            The flash memory is used for long-term storage of secret  
10           information, and a portion thereof may be allocated to applications  
11           running in the secure environment. Additionally, in one embodiment,  
12           the real time kernel for secure processor 16 is stored in the flash  
13           memory. This allows all basic operations to be performed without  
14           external intervention (active or passive), and improves performance  
15           as compared to moving the kernel through the security services  
16           described herein.

17           Applicant respectfully submits that the excerpt cited by the Office does *not*  
18           teach that a key is created during *system boot up*. Applicant directs the Office's  
19           attention to column 4, lines 7-25, and column 6, lines 59-60, which teach that  
20           Herbert's key is generated *at the time of software installation*. Those excerpts are  
21           reproduced below [emphasis added]:

22           At some time, software must be installed in the secure environment.  
23           Such "off the shelf" software will, of course, not be encrypted in the  
24           manner used within the secure environment. It will typically have a  
25           digital signature which can be used to verify the authenticity of the  
26           software being installed if digital signature verification is a  
27           supported function within the secure environment. ***FIG. 3 shows a  
28           flowchart of installation of a program in the secure system. At  
29           functional block 120, a key is generated and initialization vector  
30           [IV] is generated for an application to be installed.*** Key generation  
31           can be accomplished using the random number generator which  
32           generates random bits. Random bits are collected until the desired  
33           key length is reached. In one embodiment, the random number  
34           generator has a thirty-two bit output register. The processor 16 reads  
35           the register a number of times necessary to collect enough random

1 bits for a full key. Keys can be generated with one key for each  
2 application, i.e. all code pages and data pages associated with one  
3 application share the same key. *Col. 4, lines 7-25.*

4 As discussed above, the encryption key and IV are generated *at the*  
5 *time of installation.* *Col. 6, lines 59-60.*

6 Applicant respectfully submits that Herbert *specifically teaches* that its key  
7 is created *at the time of software installation.* Furthermore, Applicant has  
8 amended claim 1 to clarify that *different* keys can be created during *different*  
9 system boot ups. In contrast, Herbert appears to utilize the *same* key(s) created for  
10 a given software application across *multiple* system boot ups. Accordingly, for at  
11 least these reasons, this claim is allowable.

12 **Claims 4-10** depend either directly or indirectly from claim 1 and are  
13 allowable as depending from an allowable base claim. These claims are also  
14 allowable for their own recited features which, in combination with those recited  
15 in claim 1, are neither disclosed nor suggested by the references of record either  
16 singly or in combination with one another.

### 17 **Claims 11-18**

18 As amended, **claim 11** recites, in a paging operating system having main  
19 memory for holding information and secondary storage comprising a page file for  
20 receiving information that is paged out from the main memory, a computer-  
21 implemented method of protecting information comprising [emphasis added]:

- 22 • creating a key during *system boot up*, wherein *different* keys can be  
23 created during *different* system boot ups;
- 24 • page-locking the key in main memory;
- 25 • restricting access to the page-locked key to only the operating  
system kernel;
- calling the operating system kernel to encrypt information;
- accessing the page-locked key with the operating system kernel; and

- using the operating system kernel to encrypt the information with the page-locked key.

In making out the rejection of former claim 3, which subject matter is now incorporated into claim 11, the Office argues that Herbert teaches a method for creating a key during system boot up and cites to column 2, lines 45-52, reproduced above, for support.

As discussed above, Applicant respectfully submits that Herbert *specifically teaches* that its key is generated *at the time of software installation*. Furthermore, Applicant has amended claim 11 to clarify that *different* keys can be created during *different* system boot ups. In contrast, Herbert appears to utilize the *same* key(s) created for a given software application across *multiple* system boot ups. Accordingly, for at least these reasons, this claim is allowable.

**Claims 12-18** depend either directly or indirectly from claim 11 and are allowable as depending from an allowable base claim. These claims are also allowable for their own recited features which, in combination with those recited in claim 11, are neither disclosed nor suggested by the references of record either singly or in combination with one another.

#### **Claims 19-24**

As amended, **claim 19** recites, in a paging operating system having main memory for holding information and secondary storage comprising a page file for receiving information that is paged out from the main memory, a computer-implemented method of handling encrypted information comprising [emphasis added]:

- accessing encrypted information in the page file; and
- decrypting the encrypted information with a key created during *system boot up*, wherein *different* keys can be created during

1                    *different* system boot ups and wherein the key is page-locked in the  
2                    main memory.

3                    In making out the rejection of former claim 3, which subject matter is now  
4                    incorporated into claim 19, the Office argues that Herbert teaches a method for  
5                    creating a key during system boot up and cites to column 2, lines 45-52,  
6                    reproduced above, for support.

7                    As discussed above, Applicant respectfully submits that Herbert  
8                    *specifically teaches* that its key is created *at the time of software installation*.  
9                    Furthermore, Applicant has amended claim 19 to clarify that *different* keys can be  
10                   created during *different* system boot ups. In contrast, Herbert appears to utilize the  
11                   *same* key(s) created for a given software application across *multiple* system boot  
12                   ups. Accordingly, for at least these reasons, this claim is allowable.

13                   **Claims 20-24** depend either directly or indirectly from claim 19 and are  
14                   allowable as depending from an allowable base claim. These claims are also  
15                   allowable for their own recited features which, in combination with those recited  
16                   in claim 19, are neither disclosed nor suggested by the references of record either  
17                   singly or in combination with one another.

18  
19                   **Claims 25-26 and 28-29**

20                   As amended, **claim 25** recites, in a paging operating system having main  
21                   memory for holding information and secondary storage comprising a page file for  
22                   receiving information that is paged out from the main memory, a computer-  
23                   implemented method of protecting information comprising [emphasis added]:

- 24                   • allocating a non-pageable page of main memory during *system boot*;  
25                   • generating a random key, wherein *different* keys can be generated  
                 during *different* system boots; and

- storing the random key in the non-pageable page of main memory, the random key being configured for use by the operating system to encrypt information that might be paged out to the page file.

In making out the rejection of former claim 27, which subject matter is now incorporated into claim 25, the Office argues that Herbert teaches a method for generating a key during system boot up and cites to column 2, lines 45-52, reproduced above, for support.

As discussed above, Applicant respectfully submits that Herbert *specifically teaches* that its key is generated *at the time of software installation*. Furthermore, Applicant has amended claim 25 to clarify that *different* keys can be generated during *different* system boots. In contrast, Herbert appears to utilize the *same* key(s) generated for a given software application across *multiple* system boots. Accordingly, for at least these reasons, this claim is allowable.

**Claims 26 and 28-29** depend either directly or indirectly from claim 25 and are allowable as depending from an allowable base claim. These claims are also allowable for their own recited features which, in combination with those recited in claim 25, are neither disclosed nor suggested by the references of record either singly or in combination with one another.

#### **Claims 30 and 32-35**

As amended, **claim 30** recites, in an operating system having main memory for holding information and secondary storage for receiving information that is transferred out of main memory, a computer-implemented method of protecting information comprising [emphasis added]:

- generating at least one non-pageable random key by using a random key generation process during *system boot up*, wherein *different* keys can be generated during *different* system boot ups;

- 1 • encrypting at least one selected block of information in the main  
2 memory with a software component that uses the at least one random  
3 key for encryption;
- 4 • transferring the one encrypted block of information to the secondary  
5 storage;
- 6 • decrypting the one encrypted block of information with the software  
7 component that uses the at least one random key for decryption; and
- 8 • placing the decrypted block of information in the main memory.

9 In making out the rejection of former claim 31, which subject matter is now  
10 incorporated into claim 30, the Office argues that Herbert teaches a method for  
11 generating a key during system boot up and cites to column 2, lines 45-52,  
12 reproduced above, for support.

13 As discussed above, Applicant respectfully submits that Herbert  
14 *specifically teaches* that its key is generated *at the time of software installation*.  
15 Furthermore, Applicant has amended claim 30 to clarify that *different* keys can be  
16 generated during *different system boot ups*. In contrast, Herbert appears to utilize  
17 the *same* key(s) generated for a given software application across *multiple* system  
18 boot ups. Accordingly, for at least these reasons, this claim is allowable.

19 **Claims 32-35** depend either directly or indirectly from claim 30 and are  
20 allowable as depending from an allowable base claim. These claims are also  
21 allowable for their own recited features which, in combination with those recited  
22 in claim 30, are neither disclosed nor suggested by the references of record either  
23 singly or in combination with one another.

### 24 **Claims 36-40**

25 As amended, **claim 36** recites a system for use in protecting pageable  
information comprising [emphasis added]:

- a memory having pageable and non-pageable pages; and

- at least one key created during *system boot* and stored in the memory in a non-pageable page, the key being configured for use in encrypting pageable information, wherein *different* keys can be created during *different* system boots.

In making out the rejection of former claim 3, which subject matter is now incorporated into claim 36, the Office argues that Herbert teaches a method for creating a key during system boot up and cites to column 2, lines 45-52, reproduced above, for support.

As discussed above, Applicant respectfully submits that Herbert *specifically teaches* that its key is created *at the time of software installation*. Furthermore, Applicant has amended claim 36 to clarify that *different* keys can be created during *different* system boot ups. In contrast, Herbert appears to utilize the *same* key(s) created for a given software application across *multiple* system boot ups. Accordingly, for at least these reasons, this claim is allowable.

**Claims 37-40** depend either directly or indirectly from claim 36 and are allowable as depending from an allowable base claim. These claims are also allowable for their own recited features which, in combination with those recited in claim 36, are neither disclosed nor suggested by the references of record either singly or in combination with one another.

#### **Claim 41**

As amended, **claim 41** recites a computer program embodied on one or more computer-readable media, the program comprising [emphasis added]:

- creating a key and page locking the key in main memory of a computer, wherein creating the key comprises creating the key during *system boot up*, wherein *different* keys can be created during *different* system boot ups;
- encrypting information with the key;
- paging out, to secondary storage, the encrypted information;
- accessing the encrypted information in the secondary storage; and

- decrypting the encrypted information with the key that is page-locked in the main memory.

In making out the rejection of former claim 3, which subject matter is now incorporated into claim 41, the Office argues that Herbert teaches a method for creating a key during system boot up and cites to column 2, lines 45-52, reproduced above, for support.

As discussed above, Applicant respectfully submits that Herbert *specifically teaches* that its key is created *at the time of software installation*. Furthermore, Applicant has amended claim 41 to clarify that *different* keys can be created during *different* system boot ups. In contrast, Herbert appears to utilize the *same* key(s) created for a given software application across *multiple* system boot ups. Accordingly, for at least these reasons, this claim is allowable.

#### Claims 42 and 44-46

As amended, **claim 42** recites a programmable computer comprising [emphasis added]:

- a processor;
- main memory for holding information;
- secondary storage for receiving information that is temporarily transferred out of the main memory;
- the computer being programmed with computer-readable instructions which, when executed by the processor, cause the computer to:
  - generate a key during *system boot up*, wherein *different* keys can be generated during *different* system boot ups;
  - page lock the key in the main memory;
  - encrypt information that is to be transferred to the secondary storage with the key;
  - transfer the encrypted information to the secondary storage; and
  - decrypt the encrypted information with a key that is locked in the main memory.

1 In making out the rejection of former claim 31, which subject matter is now  
2 incorporated into claim 42, the Office argues that Herbert teaches a method for  
3 generating a key during system boot up and cites to column 2, lines 45-52,  
4 reproduced above, for support.

5 As discussed above, Applicant respectfully submits that Herbert  
6 *specifically teaches* that its key is generated *at the time of software installation*.  
7 Furthermore, Applicant has amended claim 42 to clarify that *different* keys can be  
8 generated during *different* system boot ups. In contrast, Herbert appears to utilize  
9 the *same* key(s) generated for a given software application across *multiple* system  
10 boot ups. Accordingly, for at least these reasons, this claim is allowable.

11 **Claims 44-46** depend either directly or indirectly from claim 42 and are  
12 allowable as depending from an allowable base claim. These claims are also  
13 allowable for their own recited features which, in combination with those recited  
14 in claim 42, are neither disclosed nor suggested by the references of record either  
15 singly or in combination with one another.

#### 16 17 **Claim 47**

18 As amended, **claim 47** recites one or more *application programming*  
19 *interfaces* embodied on one or more computer-readable media for execution on a  
20 computer in conjunction with a paging operating system having main memory for  
21 holding information and a page file for receiving information that is paged out  
22 from the main memory, comprising [emphasis added]:

- 23 • an interface method for generating a key during *system boot up*,  
24 wherein *different* keys can be generated during *different* system  
boot ups;
- 25 • an interface method for page locking the key in the main memory,
- an interface method for encrypting pageable information with the  
key; and

- an interface method for decrypting encrypted information that is contained in the page file.

In making out the rejection of former claim 31, which subject matter is similar to the amended language of claim 47, the Office argues that Herbert teaches a method for generating a key during system boot up and cites to column 2, lines 45-52, reproduced above, for support.

As discussed above, Applicant respectfully submits that Herbert *specifically teaches* that its key is generated *at the time of software installation*. Furthermore, Applicant has amended claim 47 to clarify that *different* keys can be generated during *different* system boot ups. In contrast, Herbert appears to utilize the *same* key(s) generated for a given software application across *multiple* system boot ups. Accordingly, for at least these reasons, this claim is allowable.

#### **Claim 48**

As amended, **claim 48** recites an application programming interface embodied on a computer-readable medium for execution on a computer in conjunction with a paging operating system having main memory for holding information and secondary storage comprising a page file for receiving information that is paged out from the main memory, comprising a method for setting an attribute on a page of main memory, the attribute designating that the page must be encrypted with a key created during *system boot up* and page-locked in the main memory prior to the page being paged out to the page file, wherein *different* keys can be created during *different* system boot ups.

In making out the rejection of former claim 3, which subject matter is now incorporated into claim 48, the Office argues that Herbert teaches a method for creating a key during system boot up and cites to column 2, lines 45-52, reproduced above, for support.

As discussed above, Applicant respectfully submits that Herbert *specifically teaches* that its key is created *at the time of software installation*. Furthermore, Applicant has amended claim 48 to clarify that *different* keys can be created during *different* system boot ups. In contrast, Herbert appears to utilize the *same* key(s) created for a given software application across *multiple* system boot ups. Accordingly, for at least these reasons, this claim is allowable.

### Conclusion

Applicant respectfully submits that all of the claims are in condition for allowance. Accordingly, Applicant requests that a Notice of Allowability be issued forthwith. If the Office's next anticipated action is to be anything other than issuance of a Notice of Allowability, Applicant requests that the undersigned be contacted for the purpose of scheduling an interview.

Respectfully submitted,

Dated: 11/15/04

By: James Seidler Reg. No. 38605 for Rob R. Cottle  
Rob R. Cottle  
Reg. No. 52,772  
(509) 324-9256 ext. 247